

09-09-01

PATENT  
0505-4004

Express Mail Label No.  
EK677221628US

A

11054 U.S. PTO  
03/08/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))**

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, DC 20231

JC997 U.S. PTO  
09/801588  
03/08/01

Sir:

Transmitted herewith for filing is the patent application of:

Named Inventor(s) and Address(es): Christopher Keith  
105 Hudson St. Apt. 5S, New York, NY 10013

For: MARKET PROGRAM FOR INTERACTING WITH TRADING PROGRAMS  
ON A PLATFORM

Enclosed are:

- ☒ 122 pages of specification, 1 page of Abstract, 9 pages of claims 1-104
- ☒ 91 sheets of drawings ☒ formal ☐ informal
- ☒ 1 pages of Declaration and Power of Attorney
  - ☐ unsigned ☒ newly executed ☐ copy from prior application
  - ☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)
- ☐ Claim for Priority
- ☐ Certified copy of Priority Documents ☐ English translation
- ☐ Request for Non-publication and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Information Disclosure Statement ☐ copy of        cited references
  - ☐ copy of PTO-1449 filed in parent application serial no.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard
- ☒ Assignment papers (assignment cover sheet and assignment documents)
  - ☒ a credit card authorization in the amount of \$40.00 for recording the Assignment
  - ☐ Assignment papers filed in parent application serial no.
  - ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b)
- ☒ This is a ☐ continuation ☐ divisional ☒ continuation-in-part (CIP)
  - of prior application serial no. 09/546,031
  - ☐ Cancel in this application original claims        of the parent application before calculating the filing fee (at least one original independent claim must be retained for filing purposes).
  - ☐ A Preliminary Amendment is enclosed, adding claims numbered consecutively after the highest numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:
  - ☐ A Petition For Extension of Time and proper Fee has been or is being filed in the parent application to extend the term for action in the parent application until                     .
  - ☐ A copy of the Petition For Extension of Time in the co-pending parent application is attached.
  - ☒ No Petition For Extension of Time is necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the Petition For Extension of Time in that application is granted and while this application is pending or has been granted a filing date, so as to make the application co-pending.
  - ☐ Transfer the drawings from the parent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:  
This is a ☐ continuation ☐ divisional ☐ continuation-in-part (CIP) of co-pending application serial no.                      filed                     .

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CALCULATION OF APPLICATION FEE (For a Small Entity)

	No. Filed	No. Included in Basic Fee	No. Extra	Rate per Claim	TOTAL
Basic Fee					355
Total Claims	104	20	84	9	756
Independent Claims	4	3	1	40	40
Multiple Dependent Claims				135	
TOTAL					1151

☒ Small Entity Status is claimed. Reduced fees under 37 C.F.R. § 1.9(f) are paid herewith.

☐ A check in the amount of \$ \_\_\_\_\_ in payment of the application filing fee is attached.

☒ A Credit Card Payment Form authorizing payment of \$ 1151.00 is attached.

Respectfully submitted,

Date: March 8, 2001

Brenda Pomerance  
Brenda Pomerance  
Reg. No. 36,894

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Christopher KEITH

Filed : Herewith

Group Art Unit:

Examiner :

For : MARKET PROGRAM FOR INTERACTING WITH TRADING  
PROGRAMS ON A PLATFORM

**EXPRESS MAIL CERTIFICATE**

Express Mail Label No: EK677221628US

Date of Deposit: March 8, 2001

I hereby certify that the following attached paper(s) and/or fee

1. Application including 122 pg. specification, 1 pg. Abstract, 9 pg. claims 1-104
2. 91 sheets drawings, formal
3. Declaration, signed
4. Assignment, signed
5. Assignment Recordation Cover Sheet
6. Application Fee Transmittal
7. Credit card authorization form for \$ 1151. (application filing)
8. Credit card authorization form for \$40.00 (assignment recordation)
9. Return receipt postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Brenda Pomerance  
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